Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-17**

of M/s. NINI Securities (Pvt.) Ltd.

Submission Date 15-FEB-2017 16:09:56

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11 Property & Equipment 8.084.438 9.084.438 12 Investment in SetU. Securities (Structure) (Stru	S.No.	Head of Account			Net Adjusted Value
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1.4 Investment in Debt. Securities	1.2	Intangible Assets	5,679,727	5,679,727	
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1.10% of the balance sheet value, in the case of tenue up to 1 year.					
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16 Investment in associated companies/undertaking Investment in associated companies/undertaking 17 Investment in associated companies/undertaking Investment in associated companies/undertaking 18 Introduced in the investment in associated companies/undertaking 200.000 18 Statutoy or regulatory deposits/assic deposits with the exchanges, clearing house or central depository 200.000 19 Magin deposits with exchange and clearing house. 25.000 0 110 Dheopsit with exchange and clearing house. 25.000 0 111 Other deposits and prepayments. 25.000 0 112 Accured integet, profit or mark-up on amounts placed with financial institutions or debt securities. 16.000 113.0 Didenderscere/vables. 25.000 0 114 Amount paid as purchaser under the RFPO agreement. (Securities purchased under repo arangement shall not be included in the investments). 16.11 116 Receivables gainst Rego financing. 20.000 20.000 116 Receivables on clearing house or securities purchased under repo arangement shall on the investments). 16.11 20.000 116 Receivables on clearing house or securities acchange of securities in all markets including MMt gains. 11.					
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		iii. Cash in hand	72,529	0	72,529
			42,558,052	14,974,039	26,669,541
2.1 Trade Payables					
i. Payable to exchanges and clearing house		5			
ii. Payable against leveraged market products		ii. Payable against leveraged market products			10
iii. Payable to customers 18,033,266 0 18 2.2 Current Liabilities	2.2		18,033,266	0	18,033,266
i. Statutory and regulatory dues		i. Statutory and regulatory dues			
ii. Accruals and other payables 295,516 0		ii. Accruals and other payables	295,516	0	295,516

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-17**

of M/s. NINI Securities (Pvt.) Ltd.

Submission Date 15-FEB-2017 16:09:56

	Submission Date 13-11D-2017 10.07.30		Page	2 Of 2
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities i. Long-Term financing ii. Staff retirement benefits	2,000,000	0	2,000,000
2.4	iii. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	20,328,782	0	20,328,782
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts. Total Ranking Liabilites	0	0	
3.12	Liquid Capital	22,229,270	14,974,039	6,340,759